

Gibraltar, 11<sup>th</sup> October 2017

FILED / RECEIVED

Form 210A (10/06)

OCT 30 2017

EPIQ BANKRUPTCY SOLUTIONS, LLC

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)  
(Jointly Administered)

**NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR  
SECURITY, PURSUANT TO FED. R. BANKR. P. 3001(e)(2)**

A CLAIM HAS BEEN FILED IN THIS CASE, by Credit Suisse AG (the “Transferor”), against Lehman Brothers Holdings Inc., which has been designated as Claim No. 55829 (the “Claim”). Transferee (as that term is defined below) hereby gives evidence and notice, pursuant to Fed. R. Bankr. P. Rule 3001(e)(2), of the transfer, other than for security, of the Claim, in the nominal amount of EUR 48,000 (the “Transferred Portion”). For the avoidance of doubt, the Transferred Portion relates to ISIN XS0268033908. A copy of the Evidence of Transfer of Claim (the “Evidence of Transfer”) is attached hereto as Exhibit “A” and is incorporated by reference.

Name of Transferee

Bank J. Safra Sarasin (Gibraltar) Ltd

Name and Address where notices to Transferee  
should be sent:

Attn: Marvin Cartwright  
Bank J. Safra Sarasin (Gibraltar) Ltd  
First Floor, Neptune House, Marina Bay,  
PO Box 542, Gibraltar

Phone: + 350 2000 2550

Last Four Digits of Acct #: 1403

Name and Address where transferee payments should  
be sent (if different from above):

Clearstream Banking Luxembourg  
L-2967  
Luxembourg  
Acc BK J. Safra Sar.(Gibraltar)-CLTS A/C  
Acc No: 11403

Name of Transferor

Credit Suisse AG

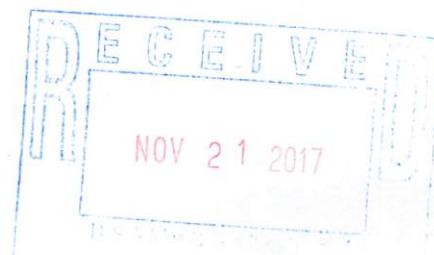
Name and Address where notices  
to Transferor should be sent:

Attn: Marija Petrovic  
Credit Suisse AG  
Uetlibergstr.231  
8045 Zurich, Switzerland

Phone: + 41 44 334 54 30

Last Four Digits of Acct. #: N/A

Court Claim # (if known): 55829  
Date Claim Filed: October 29, 2009  
Transferred Portion: EUR 48,000



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Transferee

Name: Marvin Cartwright

Title: Chief Executive Officer

Date: 11<sup>th</sup> October 2017

By: 

Transferee

Name: Abigail Carroll

Title: Chief Operating Officer

Date: 11<sup>th</sup> October 2017

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C §§ 152 & 3571.

**Exhibit A**



EVIDENCE OF TRANSFER OF CLAIM

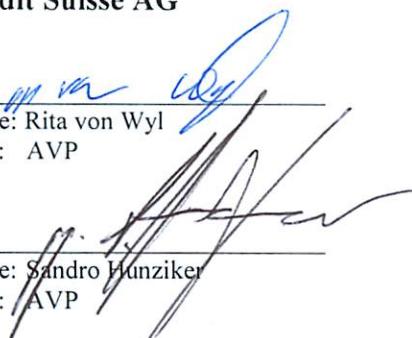
TO: THE DEBTOR AND THE BANKRUPTCY COURT

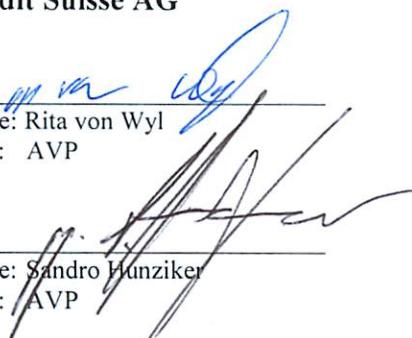
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Bank J. Safra Sarasin (Gibraltar) Ltd** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55829** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON **July 12, 2017**.

**Credit Suisse AG**

By:   
Name: Rita von Wyl  
Title: AVP

By:   
Name: Sandro Hunziker  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
XS0268033908	55829	October 29, 2009	Lehman Brothers Treasury BV	EUR 48'000



Epiq Bankruptcy Solutions , LLC  
Lehman Brothers Holdings Claims Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Gibraltar, 23 October 2017

**Transfer of Claim, Epiq Claims No: 55829**

Dear Sir, Madam,

Bank J. Safra Sarasin is sending you herewith the enclosed original copy of the completed 210A transfer forms, evidence of the claim and Schedule 1 (Epiq Claim number 55829) against Lehman Brothers Holdings Inc by the following transferor:

Credit Suisse AG  
Uetlibergstr.231  
8045 Zurich, Switzerland

Yours faithfully,

Abigail Carroll  
Chief Operations Officer  
Bank J. Safra Sarasin (Gibraltar) Ltd

Bank J. Safra Sarasin (Gibraltar) Ltd  
First Floor, Neptune House, Marina Bay, P.O. Box 542, Gibraltar, GX11 1AA  
T: +350 2000 2500, F: +350 2000 2501, [www.jsafrasarasin.com.gi](http://www.jsafrasarasin.com.gi)  
Registered Office: 57/63 Line Wall Road, Gibraltar, Registered in Gibraltar No: 82334, Authorised by the  
Gibraltar Financial Services Commission to conduct banking and investment services

